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PTO/SB/05 (12/97)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 002114.P012

Total Pages 2

First Named Inventor or Application Identifier Stuart J. Macdonald, et al.

Express Mail Label No. EL627466591US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 39)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 9)
4. Oath or Declaration (Total Pages 6)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

JC926 U.S. PTO
09/678145
10/03/00



7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))
9. a. 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
10. English Translation Document (if applicable)
11. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. a. Small Entity Statement(s)
b. Statement filed in prior application, Status still proper and desired
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: Certificate of Express Mail with copy of postcard showing contents of
Express Mail package.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
 Continuation Divisional Continuation-in-part (CIP)
of prior application No: _____

18. Correspondence Address

Customer Number or Bar Code Label 008791
(Insert Customer No. or Attach Bar Code Label here)
or

Correspondence Address Below

NAME Sheryl Sue Holloway, Esq. Reg. No. 37,850
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

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FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$)** \$1250.00**Complete if Known:**Application No. UnassignedFiling Date Unassigned

First Named Inventor _____

Group Art Unit UnassignedExaminer Name UnassignedAttorney Docket No. 002114.P012**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

 Check Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>710.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 710.00**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>30</u>	<u>- 20** = 10</u>	<u>X 18</u>	<u>= 180.00</u>
Independent Claims <u>7</u>	<u>- 3** = 4</u>	<u>X 80</u>	<u>= 320.00</u>
Multiple Dependent			<u>=</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 500.00**FEE CALCULATION (continued)**

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fe Paid</u>
Fee	Fee	Fee	
Cod	(\$)	C de	(\$)
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for reexamination
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
			Petition to institute a public use proceeding
			Petition to revive unavoidably abandoned application
141	1,240	241	620
			Petition to revive unintentionally abandoned application
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	240	126	240
581	40	581	40
			Submission of Information Disclosure Stmt
			Recording each patent assignment per property (times number of properties)
146	710	246	355
			For filing a submission after final rejection (see 37 CFR 1.129(a))
149	710	249	355
			For each additional invention to be examined (see 37 CFR 1.129(b))
179	710	279	355
169	900	169	900
			Request for Continued Examination (RCE)
			Request for expedited examination of a design application

Other fee (specify) _____

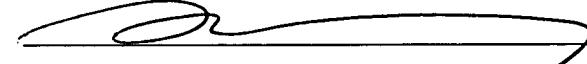
Other fee (specify) _____

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Sheryl Sue Holloway

Signature:  Date: October 3, 2000

Reg. Number: 37,850 Telephone Number: 408-720-8300

U.S. PTO
JC926 09/6/845
10/03/00

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Date of Deposit: October 3, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Michelle Begay

(Typed or printed name of person mailing paper or fee)

Michelle Begay October 3, 2000
(Signature of person mailing paper or fee) Date

Serial/Patent No.: <u>***</u>	Filing/Issue Date: <u>***</u>
Client: <u>Network Associates</u>	
Title: <u>MULTI-LAYER PROTOCOL REASSEMBLY THAT OPERATES INDEPENDENTLY OF UNDERLYING PROTOCOLS, AND RESULTING VECTOR LIST...</u>	
BSTZ File No.: <u>02114.P012</u>	Atty/Secty Initials: <u>JHS/SSH/mb</u>
Date Mailed: <u>October 3, 2000</u>	Docket Due Date: <u>***</u>
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:	
<input type="checkbox"/> Amendment/Response (<u> </u> pgs.)	
<input type="checkbox"/> Appeal Brief (<u> </u> pgs.) (in triplicate)	
<input checked="" type="checkbox"/> Application - Utility (<u>39</u> pgs., with cover and abstract)	
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (<u> </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (<u> </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (<u> </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (<u> </u> pgs.)	
<input type="checkbox"/> Application - Design (<u> </u> pgs.)	
<input type="checkbox"/> Application - PCT (<u> </u> pgs.)	
<input type="checkbox"/> Application - Provisional (<u> </u> pgs.)	
<input checked="" type="checkbox"/> Assignment and Cover Sheet	
<input checked="" type="checkbox"/> Certificate of Mailing	
<input checked="" type="checkbox"/> Declaration & POA (<u>6</u> pgs.)	
<input type="checkbox"/> Disclosure Docs & Qig & Copy of Inventor's Signed Letter (<u> </u> pgs.)	
<input checked="" type="checkbox"/> Drawings: <u>9</u> # of sheets includes <u>10</u> figures	
<input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail with copy of postcard showing contents of Express Mail package.</u>	
<input checked="" type="checkbox"/> Express Mail No.: <u>EL627466591US</u> <input type="checkbox"/> Check No. <u>38098</u>	
<input type="checkbox"/> <u> </u> Month(s) Extension of Time <input type="checkbox"/> Amt: <u>\$1250.00</u>	
<input type="checkbox"/> Information Disclosure Statement & PTO-149 (<u> </u> pgs.) <input type="checkbox"/> Check No. <u> </u>	
<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Amt: <u> </u>	
<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Petition for Extension of Time	
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<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Power of Attorney (<u> </u> pgs.)	
<input type="checkbox"/> Preliminary Amendment (<u> </u> pgs.)	
<input type="checkbox"/> Reply Brief (<u> </u> pgs.)	
<input type="checkbox"/> Response to Notice of Missing Parts	
<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input checked="" type="checkbox"/> Transmittal Letter, in duplicate	
<input checked="" type="checkbox"/> Fee Transmittal, in duplicate	